

EINSTEIN-MAXWELL GRAVITATIONAL INSTANTONS

Maciej Dunajski

Department of Applied Mathematics and Theoretical Physics
University of Cambridge

- Bernardo Araneda, MD. arXiv: 25**.*
- MD. Gravitational Instantons, old and new. arXiv: 2501.00688
- MD, Sean Hartnoll. Einstein-Maxwell gravitational instantons and five dimensional solitonic strings. CQG (2007).

JUREK. PUNE, DECEMBER 1997



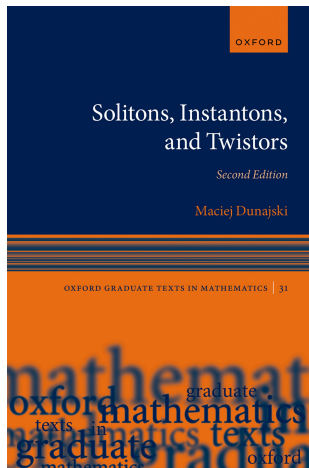
JUREK. TUX, FEBRUARY 2024



- Solutions to the classical equations of motion (the Euler–Lagrange equations) in imaginary time and with finite action.
- In quantum field theory they give a leading quantum correction to the classical behaviour (WKB).
- Tunnelling behaviour between inequivalent vacua.
- ... no single phenomenon in physics has so far been attributed to instantons, and with no other explanation.
- Bridge between theoretical physics, and mathematics.
- Gravitational instantons: Semiclassical insight into quantum gravity. Only requires the validity of GR as a low energy theory. This talk: new gravitational instantons in Einstein–Maxwell theory.

GRAVITATIONAL INSTANTONS

Gravitational Instantons are solutions to the four-dimensional Einstein, or Einstein-Maxwell equations in Riemannian signature which give complete metrics and asymptotically 'look-like' flat space.



EUCLIDEAN SCHWARZSCHILD METRIC

- Schwarzschild metric

$$g = \left(1 - \frac{2m}{r}\right)^{-1} dr^2 - \left(1 - \frac{2m}{r}\right) dt^2 + r^2(d\theta^2 + \sin^2 \theta d\phi^2).$$

Removable singularity at $r = 2m$ (event horizon). Essential singularity at $r = 0$.

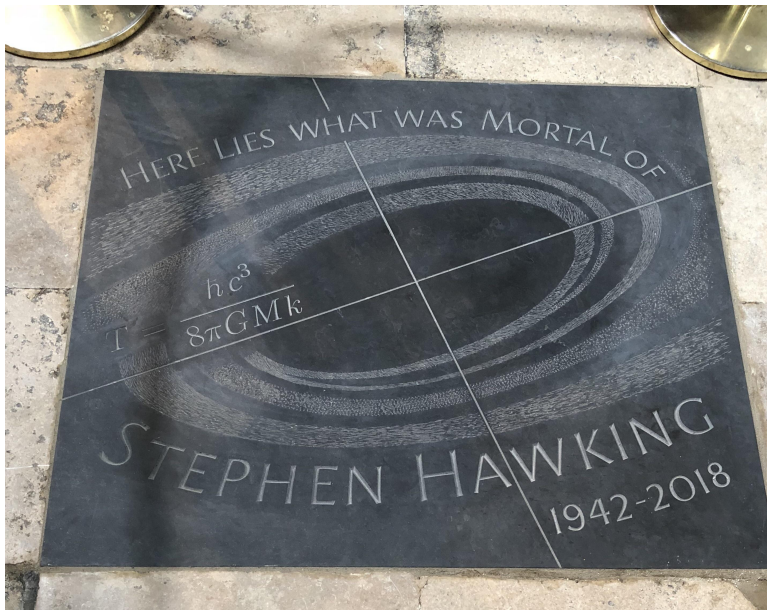
- Euclidean Schwarzschild metric. $t = i\tau$, $2m < r < \infty$. Set $\rho = 4m\sqrt{1 - 2m/r}$. Near $\rho = 0$

$$g \sim d\rho^2 + \frac{\rho^2}{16m^2} d\tau^2 + 4m^2(d\theta^2 + \sin^2 \theta d\phi^2).$$

Identify $\tau \sim \tau + 8\pi m$. Flat and regular metric.

- Kerr black hole $\rightarrow$ Euclidean Kerr instanton.

HAWKING'S GRAVESTONE



- A complete regular four-dimensional Riemannian manifold (M, g) which solves the $\Lambda = 0$ Einstein or Einstein–Maxwell equations is called **ALF (asymptotically locally flat)** if it approaches S^1 bundle over S^2 at infinity.

$$\lim_{r \rightarrow \infty} g = (d\tau + 2n \cos \theta d\phi)^2 + dr^2 + r^2(d\theta^2 + \sin^2 \theta d\phi^2).$$

- Asymptotically flat (AF)= the S^1 bundle is trivial (so $n = 0$). E.g. Euclidean Schwarzschild and Euclidean Kerr.
- Lorentzian black hole uniqueness (Hawking, Carter, D. Robinson, ...).
- Riemannian ‘black hole uniqueness’ conjecture: Euclidean Schwarzschild and Kerr are the only AF gravitational instantons.
- Doesn’t extend to Einstein–Maxwell theory: there is more than Kerr–Newman (e. g. IWP instantons).

- Chen–Teo (2011, 2015) [arXiv:1107.0763](#), [arXiv:1504.01235](#): Riemannian ‘black hole uniqueness’ conjecture is wrong.
- Five parameter family of toric (two commuting Killing vectors) Riemannian Ricci flat metrics containing a two-parameter sub-family of AF gravitational instantons.
- One-sided type D (Aksteiner-Andersson 2024), Asymptotic charges (Kunduri-Lucietti 2021), Twistor Theory (MD-Tod 2024), tip of the iceberg (Li-Sun 2025).
- Question: Does there exist an Einstein–Maxwell AF analogue?

SOME EINSTEIN–MAXWELL INSTANTONS

- Riemannian IWP metrics

$$g = \frac{1}{U\tilde{U}}(d\tau + \omega)^2 + U\tilde{U}d\mathbf{x}^2$$

$$F = U\tilde{U} \star_3 d(U^{-1} + \tilde{U}^{-1}) - \frac{1}{2}d(U^{-1} - \tilde{U}^{-1}) \wedge (d\tau + \omega)$$

where $\nabla^2 U = \nabla^2 \tilde{U} = 0$, $\nabla \times \omega = \tilde{U}\nabla U - U\nabla \tilde{U}$.

- Multi-centered solutions

$$U = \frac{4\pi}{\beta} + \sum_{m=1}^N \frac{a_m}{|\mathbf{x} - \mathbf{x}_m|}, \quad \tilde{U} = \frac{4\pi}{\tilde{\beta}} + \sum_{n=1}^{\tilde{N}} \frac{\tilde{a}_n}{|\mathbf{x} - \tilde{\mathbf{x}}_n|},$$

- Regularity

- ① Lorentzian black holes iff $U = \tilde{U}$ (Hartle-Hawking 1972, Chruściel-Reall-Tod 2006)
- ② Riemannian instantons possible if $U \neq \tilde{U}$ (Whitt 1985, Yuille 1987, MD-Hartnoll 2007).

- Riemannian ALF: $\beta = \tilde{\beta}$, $\sum a_m - N = \sum \tilde{a}_n - \tilde{N}$ and

$$U(\tilde{\mathbf{x}}_n)\tilde{a}_n = \tilde{U}(\mathbf{x}_m)a_m = 1, \quad \forall m, n$$

S^1 -bundle over S^2 with $c_1 = N - \tilde{N}$. AF if $N = \tilde{N}$.

- **Theorem** (MD-Hartnoll 2007): Riemannian IWP are the most general Einstein–Maxwell instantons with super-covariantly constant Killing spinor. (Proof uses boundedness of $|F|^2 = |\nabla U^{-1}| + |\nabla \tilde{U}^{-1}|$ and maximum principle.
- $N = 1, U = \tilde{U}$: Extreme Reissner–Nordström instanton.
- $N > 1$. The only Ricci–flat limit is hyper–Kähler (U or $\tilde{U}$ are constant). So Einstein–Maxwell Chen–Teo must be something else.

MD-Bernardo Araneda 2025:

- Three-parameter family (Q, ν, k) of toric (two commuting Killing vectors) one-sided type D Einstein–Maxwell instantons.
- Limiting cases: $Q = 0$: Chen–Teo (Ricci-flat). $\nu = -1$: 3-centre co-axial ALE Gibbons–Hawking, $\nu = 1 - 2Q$: charged Plebański–Demianski metrics (not regular).
- Asymptotic quantities: electric and magnetic charges, mass, angular momentum. Agree with Kunduri–Lucietti 2021 if $Q = 0$.
- Riemannian Harrison transformation of Chen–Teo Ricci-flat metrics.
- Conformal to Kähler: special $SU(\infty)$ Toda monopole.
- Reductions of anti-self-dual Yang–Mills equations with $SL(3, \mathbb{C})$ gauge group (twistor theory).
- Asymptotic quantities: electric and magnetic charges, mass, angular momentum. Agree with Kunduri–Lucietti 2021 if $Q = 0$.

DETAILS (MORE THAN YOU WISH FOR)

- A quartic f with four real roots. Set

$$f = f(\xi) = a_4\xi^4 + a_3\xi^3 + a_2\xi^2 + a_1\xi + a_0$$

$$\Phi = f(x)y^2 - f(y)x^2$$

$$H = (\nu x + y)[(\nu x - y + Q(x + y))(a_1 - a_3xy) \\ - (2(1 - \nu) - 4Q)(a_0 - a_4x^2y^2)]$$

$$G = [\nu^2 a_0 + 2\nu a_3 y^3 + (2\nu - 1)a_4 y^4 \\ - Q(\nu a_1 y + (2\nu - 1)a_3 y^3 + 2(\nu - 1)a_4 y^4)]f(x) \\ + [(1 - 2\nu)a_0 - 2\nu a_1 x - \nu^2 a_4 x^4 \\ + Q(2(\nu - 1)a_0 + (2\nu - 1)a_1 x + \nu a_3 x^3)]f(y) + a_2 \nu^2 \Phi.$$

- Einstein–Maxwell metric

$$g = \frac{kH}{(x - y)^3} \left(\frac{dx^2}{f(x)} - \frac{dy^2}{f(y)} - \frac{f(x)f(y)}{k\Phi} d\phi^2 \right) + \frac{1}{\Phi H(x - y)} (\Phi d\tau + G d\phi)^2.$$

RODS AND REGULARITY

- Torus action $K_i = \partial/\partial\phi^i$ where $\phi^i = (\phi, \tau)$,

$$g = \Omega^2(dr^2 + dz^2) + G_{ij}d\phi^i d\phi^j, \quad i, j = 1, 2$$

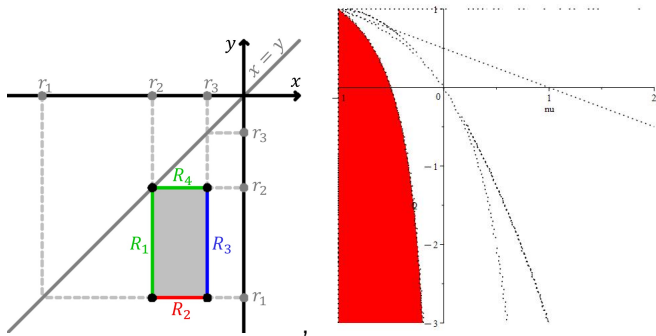
- $r^2 = \det(G)$ and $*_2 dz = dr$.
- $\text{rank}(G(0, z)) = 1$ or 0 at *turning points* where K_i vanish.
- Rod structure



- Eliminate conical and orbifold singularities at the rods.
- Make sure that $\int_M |F|^2 \text{vol}_g < \infty$.
- 3-dimensional (Q, k, ν) moduli space of AF Einstein–Maxwell instantons on $M = \mathbb{CP}^2 \setminus S^1$.

AF EINSTEIN–MAXWELL INSTANTONS.

Real roots of f : $r_1 < r_2 < r_3 < r_4$



$$r_2 = -1, r_3 = \frac{(1 - \nu)(1 + r_1)Q - \nu^2 + 2\nu r_1 - 1}{(1 + r_1)((\nu - 1)Q - 2\nu)}, r_4 = 0$$

$$r_1^2 + 2 \frac{\nu(Q + \nu)}{Q\nu - 2\nu - 1} r_1 + \frac{\nu(Q\nu + \nu^2 - Q + 1)(Q + \nu)}{(Q\nu - 2\nu - 1)(Q\nu - Q - 2\nu)} = 0.$$

- ‘Modern view’ on gravitational instantons: volume growth of a ball of large radius R : ALE: R^4 , ALF: R^3 , ALG: R^2 , ALH: R or $R^{4/3}$.
- Very hard open problem: classify Ricci-flat or Einstein Maxwell instantons with given asymptotics.
- Hard open problem: classify toric AF gravitational instantons.
- Find an analogue of Chen-Teo with $\Lambda \neq 0$.

Thank You